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# NOTICE OF ALLOWANCE AND FEE(S) DUE

22242

7590

12/30/2009

FITCH EVEN TABIN & FLANNERY 120 SOUTH LASALLE STREET SUITE 1600 CHICAGO, IL 60603-3406 EXAMINER

CHEN, WENPENG

ART UNIT PAPER NUMBER

2624

DATE MAILED: 12/30/2009

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/550,366	09/20/2005	Qingmao Hu	8249-85693	7973

TITLE OF INVENTION: AUTOMATED METHOD FOR IDENTIFYING LANDMARKS WITHIN AN IMAGE OF THE BRAIN

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	03/30/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

appropriate. All further indicated unless correct maintenance fee notification.	ed below or directed otl	ng the Patent, advance o herwise in Block 1, by (	rders and notification o a) specifying a new cor	of ma rresp	aintenance fees wondence address;	ill be and/or	mailed to the current (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.				
FITCH EVEN 120 SOUTH LA SUITE 1600	I S ac tr	Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited wi States Postal Service with sufficient postage for first class mail is addressed to the Mail Stop ISSUE FEE address above, or be transmitted to the USPTO (571) 273-2885, on the date indicated by				deposited with the United t class mail in an envelope above, or being facsimile		
CHICAGO, IL 6						(Depositor's name)		
								(Signature)
								(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	OR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/550,366 TITLE OF INVENTION	09/20/2005 I: AUTOMATED METI	HOD FOR IDENTIFYING	Qingmao Hu G LANDMARKS WITH	HIN A	AN IMAGE OF T	НЕ ВК	8249-85693 AIN	7973
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nonprovisional	NO	\$1510	\$300		\$0		\$1810	03/30/2010
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	$\neg$				
CHEN, W	CHEN, WENPENG 2624			_	_			
"Fee Address" ind PTO/SB/47; Rev 03-( Number is required.  3. ASSIGNEE NAME A PLEASE NOTE: Un	ND RESIDENCE DATA less an assignee is ident th in 37 CFR 3.11. Comp	" Indication form ned. Use of a Customer A TO BE PRINTED ON	data will appear on the	ative ngle or ag attorn be po type e pat an as	firm (having as a gent) and the name beys or agents. If a rinted.	memb es of up no nam	er a 2o to e is 3	ocument has been filed for
Please check the appropr	riate assignee category or	r categories (will not be p	rinted on the patent):	☐ I	Individual 🖵 Co	rporati	on or other private gro	up entity Government
4a. The following fee(s) ☐ Issue Fee ☐ Publication Fee (N ☐ Advance Order -	<ul> <li>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).</li> </ul>							
5. Change in Entity Sta	i <b>tus</b> (from status indicate ns SMALL ENTITY stati		☐ b. Applicant is no l	longe	er claiming SMAI	L ENT	TITY status. See 37 CF	R 1.27(g)(2).
NOTE: The Issue Fee an	nd Publication Fee (if req		ed from anyone other tha	_	_			e assignee or other party in
Authorized Signature					Date			
Typed or printed name			Registration No					
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DO	CFR 1.311. The informati 5 U.S.C. 122 and 37 CFR 5 USPTO. Time will vary rden, should be sent to th D NOT SEND FEES OR	on is required to obtain of 1.14. This collection is a depending upon the included the chief Information Off COMPLETED FORMS	or ret estir divid ficer, TO	tain a benefit by the mated to take 12 m dual case. Any co , U.S. Patent and ' THIS ADDRESS	ne publ ninutes mment Traden . SENI	ic which is to file (and to complete, including s on the amount of tin nark Office, U.S. Depa D TO: Commissioner f	by the USPTO to process) g gathering, preparing, and ne you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,

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22242 75	590 12/30/2009	EXAMINER			
FITCH EVEN T.	ABIN & FLANNER	CHEN, W	ENPENG		
120 SOUTH LASA	ALLE STREET	ART UNIT	PAPER NUMBER		
SUITE 1600 CHICAGO, IL 600	603-3406	2624 DATE MAILED: 12/30/200	9		

# **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 924 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 924 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)			
	10/550 266	HILETAL			
Notice of Allowability	10/550,366 <b>Examiner</b>	HU ET AL. Art Unit			
		0004			
	Wenpeng Chen	2624			
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate commits (IGHTS). This application is	n this application. If not include unication will be mailed in due	led course. <b>THIS</b>		
1. X This communication is responsive to <u>amendments filed 8/2</u>	<u>27/09</u> .				
2. The allowed claim(s) is/are 1-5, 7-12, and 14-21 (now renu	ımbered as 1-19, respective	<u>ely)</u> .			
<ul> <li>3. ☐ Acknowledgment is made of a claim for foreign priority ur</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have</li> <li>2. ☐ Certified copies of the priority documents have</li> </ul>	e been received.	.,			
3. ☐ Copies of the certified copies of the priority do	• •		ation from the		
International Bureau (PCT Rule 17.2(a)).	cuments have been receive	d III tilis flational stage applica	ation noin the		
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	IENT of this application.				
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			NOTICE OF		
5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must	st be submitted.				
(a) $\square$ including changes required by the Notice of Draftspers	son's Patent Drawing Revie	w ( PTO-948) attached			
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date	,				
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment o	r in the Office action of			
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			e back) of		
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			Note the		
Attachment(s)	5 Notice of Ir	oformal Datant Application			
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		nformal Patent Application nummary (PTO-413),			
	Paper No.	/Mail Date			
3. ☑ Information Disclosure Statements (PTO/SB/08), 7. ☑ Examiner's Amendment/Comment Paper No./Mail Date 9/25/09					
Examiner's Comment Regarding Requirement for Deposit of Biological Material	Statement of Reasons for All	owance			
9.					
/Wenpeng Chen/					
Primary Examiner, Art Unit 2624					

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#### Examiner's Amendment

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended for format as follows:

## For abstract

- -- Deleting the title "<u>Automated Method for identifying landmarks within an image of the brain</u>" in line 2 of page labeled 24.
  - -- Deleting [Fig. 1] in the line 15 of page labeled 24.

#### Examiner's Statement

- 2. The amendments filed on 8/27/2009 overcome the following set forth in the previous Office Action:
  - -- the drawings being objected;
- -- the incorporation of essential material in the specification by reference to an unpublished U.S. application, foreign application or patent, or to a publication being improper;
  - -- Claims 1-21 being rejected under 35 U.S.C. 112, second paragraph;
  - -- all rejections based on the cited prior art.

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3. For Claims 1-20 being rejected under 35 U.S.C. 101, the Examiner reconsidered them and concluded that the amended claims inherently require a machine for their implementation. Claims 1-20 are not rejected under 35 U.S.C. 101 anymore.

### Examiner's Statement of Reasons for Allowance

- 4. Claims 6 and 13 are canceled by the applicants.
- 5. Claims 1-5, 7-12, and 14-21 (now renumbered as 1-19, respectively) are allowed.

The following is an examiner's statement of reasons for allowance. The prior art fails to teach the method of Claim 1 and the system of Claim 21 which specifically comprise the following features in combination with other recited limitations:

- -- (b) binarising the plane of the MR image into foreground and background voxels based on at least one threshold selected using anatomical knowledge, the threshold being selected by maximizing a function which is a sum of variances of voxel intensities below and above the threshold;
- -- (c) identifying a set of object voxels from the foreground voxels, the set of object voxels being formed by a sub-step of excluding from the foreground voxels a plurality of voxels which were only classified as foreground voxels due to proximity of cortical and non-cortical structures;
- -- (d) identifying and restoring object voxels lost during the excluding sub-step of step (c), the restored object voxels being: object voxels located far from the skull, and lost due to the morphological opening operation(s); object voxels located around the

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boundaries of the object, and lost due to the morphological opening operation(s); and

object voxels lost due to the partial volume effect.

6. Any comments considered necessary by applicant must be submitted no later than

the payment of the issue fee and, to avoid processing delays, should preferably accompany the

issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for

Allowance."

Conclusion

7. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Wenpeng Chen whose telephone number is 571-272-7431. The

examiner can normally be reached on 8:30 am - 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Bhavesh Mehta can be reached on 571-272-7453. The fax phone numbers for the

organization where this application or proceeding is assigned are 571-273-8300 for regular

communications and 571-273-8300 for After Final communications. TC 2600's customer service

number is 571-272-2600.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 571-272-2600.

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Art Unit: 2624

/Wenpeng Chen/ Primary Examiner, Art Unit 2624

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